LETTER

Bridging stresses from R-curves of silicon nitrides

S. Fünfschilling \cdot T. Fett \cdot M. J. Hoffmann \cdot

R. Oberacker \cdot H. Jelitto \cdot G. A. Schneider \cdot

M. Härtelt \cdot H. Riesch-Oppermann

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The increasing crack resistance (R-curve) behaviour of ceramic materials is of high interest for technical applications. The initial value at the onset of crack extension is called the crack-tip toughness K_{10} . Very often but not in all cases a saturation of $K_R \rightarrow K_{R,\text{max}}$ is observed. Whereas silicon nitride ceramics show an increase of K_R up to saturation within a few micrometers, alumina exhibits a comparably low initial steepness and shows saturation even after some mm crack extension.

Several reasons can be responsible for this effect. In coarse-grained materials, large grains can transmit tractions between the two crack faces resulting in so-called bridging stresses acting against the crack opening. As the consequence, such bridging effects shield the crack tip partially from the applied loads.

R-curve behaviour is commonly described by a relation $K_{\rm R} = f(\Delta a)$ in which $K_{\rm R}$ is the stress intensity factor necessary for crack propagation by an amount of Δa . This would be an appropriate description for the case that the R-curves were pure material properties. In the past it has been shown by experimental and theoretical investigations that the R-curve is not a unique material property [[1\]](#page-4-0).

H. Jelitto · G. A. Schneider

Institut für keramische Hochleistungswerkstoffe, Technische Universität Hamburg-Harburg, Hamburg, Germany

M. Härtelt · H. Riesch-Oppermann

Institut für Materialforschung II, Forschungszentrum Karlsruhe, Karlsruhe, Germany

It is the common opinion that in the special case of R-curves caused by grain bridging effects, the relation between the bridging stresses, $\sigma_{\rm br}$, and crack opening displacement, δ , $\sigma_{\rm br} = f(\delta)$, is the intrinsic material property which is expected to be much less influenced by test conditions as geometry of the test specimen or special type of loading (tension, bending, etc.) [[2\]](#page-4-0).

For high-strength applications, silicon nitride (SN) ceramics are of high importance. Consequently, many R-curve measurements on this material class were carried out in literature and different methods were applied to determine the bridging law [\[3](#page-4-0)].

Direct measurements of the loads transferred by the bridges were performed by Pezzotti et al. [[4,](#page-4-0) [5\]](#page-4-0) and Kruzic et al. [\[6](#page-4-0)] applying Raman spectroscopy. A very popular method to determine the bridging stress relation is the evaluation of crack opening displacement (COD) mea-surements. This method was used by Fett et al. [[7\]](#page-4-0).

A procedure that allows the bridging stresses to be determined from existing R-curve results was developed in [\[8](#page-4-0)] and applied to coarse-grained alumina. This procedure should be used for R-curve measurements on silicon nitrides obtained by a high-resolution compliance method [\[9](#page-4-0)] to evaluate the bridging stresses.

The procedure of the determination of the bridging stresses is shown on two silicon nitride ceramics. Material (Y_2O_3, MgO) - SN is a silicon nitride which was consolidated in a two step sintering process. The powder mixture of Silicon nitride, 5 wt% Y_2O_3 , and 2 wt% MgO was prepared by attrition milling in isopropanol and afterwards dried and sieved. Greenbodies $(45 \times 64 \times 6 \text{ mm}^3)$ were uniaxially pressed and subsequently cold- isostatically densified. The samples were sintered in a hot- isostaticpress. In the first step with a low N_2 pressure of 1 MPa, the samples were sintered to achieve closed porosity at a

S. Fünfschilling (\boxtimes) \cdot T. Fett \cdot M. J. Hoffmann \cdot R. Oberacker Institut für Keramik im Maschinenbau, Universität Karlsruhe, Karlsruhe, Germany e-mail: fuenfschilling@ikm.uni-karlsruhe.de

temperature of 1750 °C. Full density was achieved in the HIP step at an N_2 pressure of 20 MPa and a temperature of 1800 °C. Material (Y_2O_3, A_2O_3) – SN is a commercial silicon nitride containing Y_2O_3 and Al_2O_3 (SL200BG, CeramTec, Plochingen, Germany).

R-curves for the two silicon nitride ceramics are represented in Fig. 1. The intrinsic toughness for the $(Y_2O_3,$ MgO) is $K_{\text{I0}} = 2.33 \text{ MPa}\sqrt{\text{m} [10]}$ $K_{\text{I0}} = 2.33 \text{ MPa}\sqrt{\text{m} [10]}$ $K_{\text{I0}} = 2.33 \text{ MPa}\sqrt{\text{m} [10]}$ and for the commercial silicon nitride (Y_2O_3, A_2O_3) $K_{I0} = 2.0 \text{ MPa}\sqrt{\text{m}}$. Figure [2](#page-2-0)b shows very steep R-curves at small crack extensions in both cases.

The R- curves can be described by

$$
K_{\rm R} = K_{\rm I0} - K_{\rm sh} \ , \quad K_{\rm sh} < 0 \tag{1}
$$

with the shielding stress intensity factor, $K_{\rm sh}$, which causes through the crack tip shielding the R- curve behaviour. This fact allows the shielding stresses to be determined. The necessary procedures are extensively outlined in literature. For our purpose we used the technique described in [\[8](#page-4-0)].

For silicon nitride ceramics, the shielding effect is caused by bridging interactions in the crack wake by, e.g. grain bridging or grain pull- out, i.e.

$$
K_{\rm sh} = K_{\rm br} \tag{2}
$$

The bridging stresses $\sigma_{\rm br}$ acting against crack opening depend on opening displacements δ . Using the weight function representation, the bridging stress intensity factor is given by

$$
K_{\text{br}} = \int_{0}^{a} h(x, a) \sigma_{\text{br}}[\delta(x)] dx
$$
 (3)

with the fracture mechanics weight function h for a crack ahead of a sharp notch (for details see $[11]$ $[11]$). The bridging displacements $\delta_{\rm br} < 0$ are

$$
\delta_{\text{br}} = \frac{1}{E'} \int_{x}^{a} h(x, a') da' \int_{0}^{a'} h(x'a') \sigma_{\text{br}}(x') dx' = \delta - \delta_{\text{appl}}
$$
\n(4)

In (4), δ_{apol} is the displacement that would occur for an applied stress intensity factor K_{appl} in the absence of bridging stresses. These displacements are given by

$$
\delta_{\rm appl} = \frac{1}{E'} \int\limits_{x}^{a} h(x, a') K_{\rm appl}(a') da' \tag{4a}
$$

with the stress intensity factor K_{appl} caused by the externally applied load. The system of Eqs. 3 and 4 can be solved by successive approximation or other numerical strategies. Here the simplest approach may be addressed.

For this purpose the bridging law $\sigma_{\rm br} = f(\delta)$ may be expressed by a series expansion with respect to δ with unknown coefficients A_n

$$
\sigma_{\rm br} = \sum_{n=0}^{\infty} A_n \delta^n \cong \sum_{n=0}^{N} A_n \delta^n \tag{5}
$$

For practicability, the infinite upper series limit may be replaced by a finite number of $N \gg 1$.

In the first step, the crack opening displacement field for an arbitrarily chosen crack length, a, is approximated by $\delta = \delta_{\text{appl}}$. This yields from (5) the bridging stress distribution $\sigma_{\rm br}(x) = f(\delta_{\rm appl}(x))$ for an arbitrarily chosen set of coefficients A_n . By using this distribution as the integrand of (4), a first approximation of bridging displacements and, consequently, an improved solution for the total displacements is obtained. In the next step, these improved δ -values are entered in (5) providing an improved bridging stress, etc. The procedure is repeated until a certain state of convergence is reached.

Fig. 1 a R-curves for two $Si₃N₄$ -ceramics, **b** initial parts of the R-curves in more detail Fig. 2 a K_R fitted by Eq. 7 for the two $Si₃N₄$ ceramics as curves (symbols: results from Fig. 2), b bridging stress distribution over the length of a crack with $\Delta a = 100 \text{ }\mu\text{m}$, c bridging stresses versus crack opening displacement, $\sigma_{\rm br} = f(\delta)$, **d** bridging stress displacements for the $(Y_2O_3,$ MgO)-containing $Si₃N₄$ determined from Eq. [4](#page-1-0) (solid curve) and Irwin parabola according to (6) for the same bridging stress intensity factor (dashed curve) for $\Delta a = 100$ um

The iterative solution establishes the inner loop of a computer program. In the outer loop, the actual crack length is varied and for any chosen crack increment, $\Delta a = a - a_0$, the bridging stress intensity factor, K_{br} is computed via Eq. [3](#page-1-0) and the crack resistance $,K_R$, by Eq. [1.](#page-1-0) If this is done for a number of N crack length values we obtain N computed K_R -values. In a second iterative procedure, the N coefficients A_n are changed systematically (e.g. by application of an optimization procedure [[12\]](#page-4-0)) until the computed and the measured K_R are identical. For an acceleration of convergence it is recommended to smooth the measured R-curve and to eliminate experimental scatter.

The results for the two $Si₃N₄$ ceramics are represented in Fig. 2. Figure 2a shows the measured data (circles) together with the fit-curves. In Fig. 2b, the distribution of the bridging stresses for crack extensions up to $\Delta a = 100 \text{ }\mu\text{m}$ are plotted. For $Si₃N₄$ with $(Y₂O₃, MgO)$, maximum bridging stresses of $\sigma_{\text{max}} = -1730 \text{ MPa}$ are reached at a crack-tip distance of $a - x = 0.4 \mu m$ ($\delta = 7.3 \text{ nm}$). Silicon nitride with (Y_2O_3, A_2O_3) shows $\sigma_{\text{max}} = -1180 \text{ MPa}$ at $a - x = 0.5 \mu m$ ($\delta = 7 \text{ nm}$). The bridging relations $\sigma_{\rm br}(\delta)$ are plotted in Fig. 2c. Finally, Fig. 2d shows for $(Y₂O₃, MgO)$ the distribution of the bridging displacements by the solid curve together with the near-tip solution (dashed curve) as resulting from the Irwin relation for $K = K_{br}$:

$$
\delta_{\text{br,tip}} = \sqrt{\frac{8}{\pi}} \frac{K_{\text{br}}}{E'} \sqrt{a - x} \tag{6}
$$

It can be seen that there is no strong deviation from the exact result. For a simple approximate evaluation, Eq. 6 can be used instead of Eq. [4](#page-1-0).

The fitted R-curves introduced in Fig. 2a by the curves can be expressed as

$$
K_{\rm R} = K_{\rm I0} + C_0[1 - \exp(-C_1\Delta a)] + C_2[1 - \exp(-C_3\Delta a)]
$$
\n(7)

with $C_0 = 4.18 \text{ MPa}\sqrt{\text{m}}$, $C_1 = 0.8/\mu\text{m}$, $C_2 = 1.09 \text{ MPa}\sqrt{\text{m}}$, $C_3 = 0.0309/\mu m$ (Δa in μ m) for the Si₃N₄ with (Y₂O₃, MgO)-content. The result for the (Y_2O_3, A_2O_3) containing $Si₃N₄$ is represented by $C_0 = 3.11$ MPa \sqrt{m} , $C_1 = 0.7/\mu m$, $C_2 = 0.59$ MPa_{\sqrt{m}}, $C_3 = 0.06587/\mu$ m.

For $\delta \leq 40$ nm, the related bridging laws can be approximated by

$$
\sigma_{\rm sh} = \sigma_{\rm br} \approx \sigma_0 \frac{\delta}{\delta_0} \exp[-\delta/\delta_0]
$$
 (8)

with $\sigma_0 = -4670$ MPa, $\delta_0 = 73$ nm for the material with (Y_2O_3, MgO) -content and $\sigma_0 = -3290$ MPa, $\delta_0 = 70$ nm for the silicon nitride with Y_2O_3 and Al_2O_3 .

A fit relation over an extended displacement range of $0 \le \delta \le 100$ nm which includes the lower bridging stresses (\sim -100 MPa) at displacements δ > 40 nm reads for the (Y_2O_3, MgO) -containing Si_3N_4

$$
\sigma_{\rm br} \approx \sigma_0 \frac{\delta}{\delta_0} \exp[-\delta/\delta_0] + \sigma_1 \exp[-\delta/\delta_1] \tag{9}
$$

with $\sigma_0 = -4300 \text{ MPa}$, $\delta_0 = 70 \text{ nm}$, $\sigma_1 = -150 \text{ MPa}$, $\delta_1 = 90$ nm.

As an application of the bridging stresses we finally computed the R-curve for a semi-circular crack by using the procedure given in $[13]$ $[13]$. For this purpose Eqs. [1–4](#page-1-0) have to be solved with the weight function for the semi-circular crack given by

 $Y₂O₃$, MgO)-SN

6

 (Y_2O_3, A_2O_3) -SN

$$
h_{\text{semi-circ}} = \frac{2r[1 + c(1 - r/a)]}{\sqrt{\pi a (a^2 - r^2)}},
$$

$$
c = \frac{0.04 + 0.104(1 - \sin \varphi)^2}{1 - \frac{\pi}{4}}
$$
 (10)

(for r see insert in Fig. 3). The result for the two silicon nitrides is illustrated in Fig. 3. The R-curves for the semicircular cracks are slightly below those obtained from the edge cracks. This is in agreement with the general result of [\[13](#page-4-0)].

Discussion

The bridging stresses for small crack opening displacements were found to be very high with maximum values in the order of $-\sigma_{\rm br} = 1200$ –1700 MPa. As a general result from theory, it should be emphasised that the crack extension Δa in which the R-curve shows the high steepness must correspond to the distance from the crack tip in which these stresses act.

Of course, our result cannot be generalized to be a result for all silicon nitride materials. The high bridging stresses will only hold for $Si₃N₄$ with strongly rising R-curves. In this context, it should be mentioned that many data are available in literature which show a rather moderately rising crack resistance with nearly doubling K_R after crack propagation in the order of about $0.5-2$ mm (see e.g. $[14, 15]$ $[14, 15]$ $[14, 15]$ $[14, 15]$ $[14, 15]$). Such curves must trivially result in moderate bridging stresses.

Fig. 3 R-curves for semi-circular cracks (dashed curves) computed from the bridging stresses obtained from edge cracks according to the procedure in [\[13\]](#page-4-0)

Fig. 4 Data points of the initial R-curve (open circles) computed under the assumption that the notch/crack configuration would act as a crack of total length $a_0 + \Delta a$ (a_0 = length of the initial notch) [[9](#page-4-0)]; dashed curve: result from Fig. [2](#page-2-0)a, square indicates K_{10}

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The steepness of R-curves predominantly reflects the difference between the low value of the starting value K_{10} which so far was found to be roughly 2 ± 0.5 MPa $\sqrt{\text{m}}$ and the high K_R -values present even after a few μ m of crack extension.

For illustration of this fact, let us ignore the existence of the true fracture mechanics problem of a crack in front of a notch. In order to show the consequences, we assume the notch/crack configuration to be a simple long crack that would allow the application of the ''long-crack solution'' for the stress intensity factor and compliance computation. The originally measured data [9] for the material with (Y_2O_3, MgO) -content evaluated with the "long-crack" solution'' are illustrated in Fig. [4](#page-3-0) by the circles. Based on these data and the K_{I0} (indicated by the square) a nearly step-shaped increase within a crack extension of a fraction of a lm would have to be stated. Consequently, the bridging stresses would result in multiples of the bridging stresses determined in the preceding analysis. The correction due to the notch/crack configuration reduces these stresses clearly.

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