LETTER

Bridging stresses from R-curves of silicon nitrides

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The increasing crack resistance (R-curve) behaviour of ceramic materials is of high interest for technical applications. The initial value at the onset of crack extension is called the crack-tip toughness K_{I0} . Very often but not in all cases a saturation of $K_R \rightarrow K_{R,max}$ is observed. Whereas silicon nitride ceramics show an increase of K_R up to saturation within a few micrometers, alumina exhibits a comparably low initial steepness and shows saturation even after some mm crack extension.

Several reasons can be responsible for this effect. In coarse-grained materials, large grains can transmit tractions between the two crack faces resulting in so-called bridging stresses acting against the crack opening. As the consequence, such bridging effects shield the crack tip partially from the applied loads.

R-curve behaviour is commonly described by a relation $K_{\rm R} = f(\Delta a)$ in which $K_{\rm R}$ is the stress intensity factor necessary for crack propagation by an amount of Δa . This would be an appropriate description for the case that the R-curves were pure material properties. In the past it has been shown by experimental and theoretical investigations that the R-curve is not a unique material property [1].

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M. Härtelt · H. Riesch-Oppermann Institut für Materialforschung II, Forschungszentrum Karlsruhe, Karlsruhe, Germany It is the common opinion that in the special case of R-curves caused by grain bridging effects, the relation between the bridging stresses, σ_{br} , and crack opening displacement, δ , $\sigma_{br} = f(\delta)$, is the intrinsic material property which is expected to be much less influenced by test conditions as geometry of the test specimen or special type of loading (tension, bending, etc.) [2].

For high-strength applications, silicon nitride (SN) ceramics are of high importance. Consequently, many R-curve measurements on this material class were carried out in literature and different methods were applied to determine the bridging law [3].

Direct measurements of the loads transferred by the bridges were performed by Pezzotti et al. [4, 5] and Kruzic et al. [6] applying Raman spectroscopy. A very popular method to determine the bridging stress relation is the evaluation of crack opening displacement (COD) measurements. This method was used by Fett et al. [7].

A procedure that allows the bridging stresses to be determined from existing R-curve results was developed in [8] and applied to coarse-grained alumina. This procedure should be used for R-curve measurements on silicon nitrides obtained by a high-resolution compliance method [9] to evaluate the bridging stresses.

The procedure of the determination of the bridging stresses is shown on two silicon nitride ceramics. Material (Y_2O_3 , MgO)- SN is a silicon nitride which was consolidated in a two step sintering process. The powder mixture of Silicon nitride, 5 wt% Y_2O_3 , and 2 wt% MgO was prepared by attrition milling in isopropanol and afterwards dried and sieved. Greenbodies ($45 \times 64 \times 6 \text{ mm}^3$) were uniaxially pressed and subsequently cold- isostatically densified. The samples were sintered in a hot- isostatic-press. In the first step with a low N_2 pressure of 1 MPa, the samples were sintered to achieve closed porosity at a

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temperature of 1750 °C. Full density was achieved in the HIP step at an N₂ pressure of 20 MPa and a temperature of 1800 °C. Material (Y₂O₃, Al₂O₃) – SN is a commercial silicon nitride containing Y₂O₃ and Al₂O₃ (SL200BG, CeramTec, Plochingen, Germany).

R-curves for the two silicon nitride ceramics are represented in Fig. 1. The intrinsic toughness for the (Y_2O_3, MgO) is $K_{I0} = 2.33 \text{ MPa} \sqrt{m}$ [10] and for the commercial silicon nitride $(Y_2O_3, Al_2O_3) K_{I0} = 2.0 \text{ MPa} \sqrt{m}$. Figure 2b shows very steep R-curves at small crack extensions in both cases.

The R- curves can be described by

$$K_{\rm R} = K_{\rm I0} - K_{\rm sh} , \quad K_{\rm sh} < 0$$
 (1)

with the shielding stress intensity factor, $K_{\rm sh}$, which causes through the crack tip shielding the R- curve behaviour. This fact allows the shielding stresses to be determined. The necessary procedures are extensively outlined in literature. For our purpose we used the technique described in [8].

For silicon nitride ceramics, the shielding effect is caused by bridging interactions in the crack wake by, e.g. grain bridging or grain pull- out, i.e.

$$K_{\rm sh} = K_{\rm br} \tag{2}$$

The bridging stresses σ_{br} acting against crack opening depend on opening displacements δ . Using the weight function representation, the bridging stress intensity factor is given by

$$K_{\rm br} = \int_{0}^{a} h(x,a) \,\sigma_{\rm br}[\delta(x)] \,\mathrm{d}x \tag{3}$$

with the fracture mechanics weight function h for a crack ahead of a sharp notch (for details see [11]). The bridging displacements $\delta_{br} < 0$ are

$$\delta_{\rm br} = \frac{1}{E'} \int\limits_{x}^{a} h(x,a') \mathrm{d}a' \int\limits_{0}^{a} h(x'a') \,\sigma_{\rm br}(x') dx' = \delta - \delta_{\rm appl} \tag{4}$$

In (4), δ_{appl} is the displacement that would occur for an applied stress intensity factor K_{appl} in the absence of bridging stresses. These displacements are given by

$$\delta_{\text{appl}} = \frac{1}{E'} \int_{x}^{a} h(x, a') K_{\text{appl}}(a') \mathrm{d}a'$$
(4a)

with the stress intensity factor K_{appl} caused by the externally applied load. The system of Eqs. 3 and 4 can be solved by successive approximation or other numerical strategies. Here the simplest approach may be addressed.

For this purpose the bridging law $\sigma_{br} = f(\delta)$ may be expressed by a series expansion with respect to δ with unknown coefficients A_n

$$\sigma_{\rm br} = \sum_{n=0}^{\infty} A_n \delta^n \cong \sum_{n=0}^{N} A_n \delta^n \tag{5}$$

For practicability, the infinite upper series limit may be replaced by a finite number of $N \gg 1$.

In the first step, the crack opening displacement field for an arbitrarily chosen crack length, *a*, is approximated by $\delta = \delta_{appl}$. This yields from (5) the bridging stress distribution $\sigma_{br}(x) = f(\delta_{appl}(x))$ for an arbitrarily chosen set of coefficients A_n . By using this distribution as the integrand of (4), a first approximation of bridging displacements and, consequently, an improved solution for the total displacements is obtained. In the next step, these improved δ -values are entered in (5) providing an improved bridging stress, etc. The procedure is repeated until a certain state of convergence is reached.



Fig. 1 a R-curves for two Si_3N_4 -ceramics, **b** initial parts of the R-curves in more detail

Fig. 2 a $K_{\rm R}$ fitted by Eq. 7 for the two Si₃N₄ ceramics as curves (symbols: results from Fig. 2), b bridging stress distribution over the length of a crack with $\Delta a = 100 \ \mu m$, c bridging stresses versus crack opening displacement, $\sigma_{\rm br} = f(\delta), \mathbf{d}$ bridging stress displacements for the (Y₂O₃, MgO)-containing Si₃N₄ determined from Eq. 4 (solid curve) and Irwin parabola according to (6) for the same bridging stress intensity factor (dashed curve) for $\Delta a = 100 \text{ um}$



The iterative solution establishes the inner loop of a computer program. In the outer loop, the actual crack length is varied and for any chosen crack increment, $\Delta a = a - a_0$, the bridging stress intensity factor, $K_{\rm br}$, is computed via Eq. 3 and the crack resistance $K_{\rm R}$, by Eq. 1. If this is done for a number of N crack length values we obtain N computed $K_{\rm R}$ -values. In a second iterative procedure, the N coefficients $A_{\rm n}$ are changed systematically (e.g. by application of an optimization procedure [12]) until the computed and the measured $K_{\rm R}$ are identical. For an acceleration of convergence it is recommended to smooth the measured R-curve and to eliminate experimental scatter.

The results for the two Si₃N₄ ceramics are represented in Fig. 2. Figure 2a shows the measured data (circles) together with the fit-curves. In Fig. 2b, the distribution of the bridging stresses for crack extensions up to $\Delta a = 100 \ \mu m$

bridging stresses of $\sigma_{\text{max}} = -1730$ MPa are reached at a crack-tip distance of $a - x = 0.4 \, \mu\text{m}$ ($\delta = 7.3 \, \text{nm}$). Silicon nitride with (Y₂O₃, Al₂O₃) shows $\sigma_{\text{max}} = -1180$ MPa at $a - x = 0.5 \, \mu\text{m}$ ($\delta = 7 \, \text{nm}$). The bridging relations $\sigma_{\text{br}}(\delta)$ are plotted in Fig. 2c. Finally, Fig. 2d shows for (Y₂O₃, MgO) the distribution of the bridging displacements by the solid curve together with the near-tip solution (dashed curve) as resulting from the Irwin relation for $K = K_{\text{br}}$:

are plotted. For Si₃N₄ with (Y₂O₃, MgO), maximum

$$\delta_{\rm br,tip} = \sqrt{\frac{8}{\pi}} \frac{K_{\rm br}}{E'} \sqrt{a-x} \tag{6}$$

It can be seen that there is no strong deviation from the exact result. For a simple approximate evaluation, Eq. 6 can be used instead of Eq. 4.

The fitted R-curves introduced in Fig. 2a by the curves can be expressed as

$$K_{\rm R} = K_{\rm I0} + C_0 [1 - \exp(-C_1 \Delta a)] + C_2 [1 - \exp(-C_3 \Delta a)]$$
(7)

with $C_0 = 4.18$ MPa \sqrt{m} , $C_1 = 0.8/\mu m$, $C_2 = 1.09$ MPa \sqrt{m} , $C_3 = 0.0309/\mu m$ (Δa in μm) for the Si₃N₄ with (Y₂O₃, MgO)-content. The result for the (Y₂O₃, Al₂O₃) containing Si₃N₄ is represented by $C_0 = 3.11$ MPa \sqrt{m} , $C_1 = 0.7/\mu m$, $C_2 = 0.59$ MPa \sqrt{m} , $C_3 = 0.06587/\mu m$.

For $\delta \leq 40$ nm, the related bridging laws can be approximated by

$$\sigma_{\rm sh} = \sigma_{\rm br} \approx \sigma_0 \frac{\delta}{\delta_0} \exp[-\delta/\delta_0] \tag{8}$$

with $\sigma_0 = -4670$ MPa, $\delta_0 = 73$ nm for the material with (Y₂O₃, MgO)-content and $\sigma_0 = -3290$ MPa, $\delta_0 = 70$ nm for the silicon nitride with Y₂O₃ and Al₂O₃.

A fit relation over an extended displacement range of $0 \le \delta \le 100$ nm which includes the lower bridging stresses (~-100 MPa) at displacements $\delta > 40$ nm reads for the (Y₂O₃, MgO)-containing Si₃N₄

$$\sigma_{\rm br} \approx \sigma_0 \frac{\delta}{\delta_0} \exp[-\delta/\delta_0] + \sigma_1 \exp[-\delta/\delta_1] \tag{9}$$

with $\sigma_0 = -4300$ MPa, $\delta_0 = 70$ nm, $\sigma_1 = -150$ MPa, $\delta_1 = 90$ nm.

As an application of the bridging stresses we finally computed the R-curve for a semi-circular crack by using the procedure given in [13]. For this purpose Eqs. 1–4 have to be solved with the weight function for the semi-circular crack given by

Y₂O₃, MgO)-SN

$$h_{\text{semi-circ}} = \frac{2r[1 + c(1 - r/a)]}{\sqrt{\pi a (a^2 - r^2)}},$$

$$c = \frac{0.04 + 0.104(1 - \sin \varphi)^2}{1 - \frac{\pi}{i}}$$
(10)

(for r see insert in Fig. 3). The result for the two silicon nitrides is illustrated in Fig. 3. The R-curves for the semicircular cracks are slightly below those obtained from the edge cracks. This is in agreement with the general result of [13].

Discussion

The bridging stresses for small crack opening displacements were found to be very high with maximum values in the order of $-\sigma_{\rm br} = 1200-1700$ MPa. As a general result from theory, it should be emphasised that the crack extension Δa in which the R-curve shows the high steepness must correspond to the distance from the crack tip in which these stresses act.

Of course, our result cannot be generalized to be a result for all silicon nitride materials. The high bridging stresses will only hold for Si_3N_4 with strongly rising R-curves. In this context, it should be mentioned that many data are available in literature which show a rather moderately rising crack resistance with nearly doubling K_R after crack propagation in the order of about 0.5–2 mm (see e.g. [14, 15]). Such curves must trivially result in moderate bridging stresses.





Fig. 3 R-curves for semi-circular cracks (*dashed curves*) computed from the bridging stresses obtained from edge cracks according to the procedure in [13]

Fig. 4 Data points of the initial R-curve (*open circles*) computed under the assumption that the notch/crack configuration would act as a crack of total length $a_0 + \Delta a$ ($a_0 =$ length of the initial notch) [9]; dashed curve: result from Fig. 2a, square indicates K_{I0}

The steepness of R-curves predominantly reflects the difference between the low value of the starting value K_{I0} which so far was found to be roughly 2 ± 0.5 MPa \sqrt{m} and the high $K_{\rm R}$ -values present even after a few μ m of crack extension.

For illustration of this fact, let us ignore the existence of the true fracture mechanics problem of a crack in front of a notch. In order to show the consequences, we assume the notch/crack configuration to be a simple long crack that would allow the application of the "long-crack solution" for the stress intensity factor and compliance computation. The originally measured data [9] for the material with (Y₂O₃, MgO)-content evaluated with the "long-crack solution" are illustrated in Fig. 4 by the circles. Based on these data and the K_{I0} (indicated by the square) a nearly step-shaped increase within a crack extension of a fraction of a µm would have to be stated. Consequently, the bridging stresses would result in multiples of the bridging stresses determined in the preceding analysis. The correction due to the notch/crack configuration reduces these stresses clearly.

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